



RECEIVED

AUG 08 2002

TECH CENTER 1600/2900

Sheet 1 of 2

Form PTO-1449 Modified		Docket No. HER-0050	Serial No. 09/882,781
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Kuhner, C.H. et al.	
U.S. Department of Commerce Patent and Trademark Office		Filing Date June 15, 2001	Group 1646
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
~	1	Dabrowska, M. et al., "Derivatives of the L-Lysine-Peptides with Antibacterial Activity", <i>Pol. J. Pharmacol Pharm</i> , 1976, 28(1), 77-88, XP-008004973	
~	2	Kikumoto, R. et al., "Thrombin Inhibitors. 2. Amide Derivatives of Na-Substituted L-Arginine", <i>Journal of Medicinal Chemistry, American Chemical Society, US</i> , 1980, 23(8), 830-836, XP 001008617	
~	3	Sugimoto, Y. et al., "Influence of Chemical Modification of N alpha-cocoyl-Larginine Ethyl Ester on its Hepatitis B Surface Antigen-Inactivating Effect", <i>Antimicrobial Agents and Chemotheraphy</i> , 1980, 18(4), 525-528, XP 002203217	
—	4	Database WPI Section Ch, Week 199936 Derwent Publications Ltd., London GB; Class B05, AN 1999-430213, XP 002203218 <i>NO PUBLICATION DATE</i>	
~	5	Perez, L. et al., "Synthesis Aggregation and Biological Properties of a New Class of Gemini Cationic Amphiphilic Compounds from Arginine Bis(ARGS)", <i>Langmuir</i> , 1996, 12(22), 5296-5301, XP-002203216	
EXAMINER MARK NAVARRO		DATE CONSIDERED 1/8/04	

COPY OF PAPERS
ORIGINALLY FILED



COPY OF PAPERS
ORIGINALLY FILED

RECEIVED

AUG 08 2002

TECH CENTER 1600/2900

Sheet 2 of 2

Form PTO-1449 Modified				Docket No. HER-0050		Serial No. 09/882,781	
List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office				Applicant Kuhner, C.H. et al.			
				Filing Date June 15, 2001		Group 1646	
U. S. PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Name	Class	Subclass	
<i>m</i>	6	5,440,016	08/08/95	Blondelle, et al.	530	330	
FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Country	Translation YES NO		
<i>m</i>	7	WO 00/59527	10/12/00	PCT			
EXAMINER <i>MARK NAMERO</i>				DATE CONSIDERED <i>1/8/04</i>			



RECEIVED

NOV 06 2002

TECH CENTER 1600/2900

Sheet 1 of 2

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. HER-0050	Serial No. 09/882,781
	Applicant Carla H. Kuhner et al.	
	Filing Date June 15, 2001	Group 1646

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	8	3,265,682	08/09/66	Vogler et al.	260	112.5
	9	4,448,715	05/15/84	Ryan et al.	260	112.5
	10	5,654,451	08/05/97	Kari	554	35

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	11	0 280 610 A1	08/31/88	EP	X (abstract only)	
	12	0 618 225 A1	10/05/94	EP	X (abstract only)	
	13	1 237 918 A	07/07/71	GB		
	14	92/01462 A1	02/06/92	WO		
	15	95/19370 A1	07/20/95	WO		
	16	96/40768 A2 & A3	12/19/96	WO		
	17	98/07745 A2 & A3	02/26/98	WO		
	18	99/14231 A2 & A3	03/25/99	WO	X (abstract only)	
	19	99/37667 A1	07/29/99	WO		
	20	00/00214 A2 & A3	01/06/00	WO		

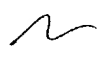
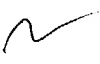
EXAMINER MARK NAVARRO	DATE CONSIDERED 1/8/04
------------------------------	-------------------------------

**RECEIVED**

NOV 06 2002

TECH CENTER 1600/2900

Sheet 2 of 2

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. HER-0050	Serial No. 09/882,781
		Applicant Carla H. Kuhner et al.	
		Filing Date June 15, 2001	Group 1646
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	21	Nakamura, H. et al., "Antibacterial Activity of Amphipathic Basic Peptides with a Long Acyl Group and Their Interaction with Phospholipid Bilayers," <i>Bull Chem Soc Jpn</i> , April 1, 1990, 63(4), 1180-1184	
	22	International Search Report from corresponding PCT Application PCT/US01/19400; Date of Mailing: October 2, 2002	
EXAMINER		DATE CONSIDERED	
MARK NAVARRO		1/8/07	

**Form PTO-1449 Modified**

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Docket No.
HER-0050

Serial No.
09/882,781

Applicant
Carla H. Kuhner et al.,

Filing Date
June 15, 2001

Group
Not Yet Assigned

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

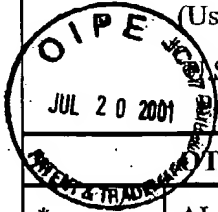
~	AA	Falla T.J. et al., "Mode of action of the antimicrobial peptide indoicidin", 1996, Vol 271 (32), pp 19298-19303
~	AB	Hancock, R.E.W., "The therapeutic potential of cationic peptides" <i>Exp. Opin. Invest. Drugs</i> , 1998, 7(2), pp 167-174
~	AC	Hancock, R.E.W., et al., "Cationic bactericidal peptides" <i>Advances In Microbial Physiology</i> , 1995, Vol 37, pp 135-175
~	AD	Hancock, R.E.W. et al., "Cationic peptides: a new source of antibiotics" <i>TiB Tech.</i> , 1998, 16, pp82-88
~	AE	Lehrer et al., "Defensins: Natural peptide antibiotics from neutrophils" <i>ASM News</i> , 1990, 56, 315-318
~	AF	Merrifield, R.B., "Solid phase peptide synthesis. I. The synthesis of a tetrapeptide" <i>J. Amer. Chem Soc.</i> , 1963, 85, pp 2149-2154
~	AG	Nguyen-Dinh P., et al., "Antiparasitic agents and susceptibility tests" <i>Manual of Clinical Microbiology</i> , 7 th Edition, pp 1653-1662
~	AH	Oh et al., "Structure-activity relationship study: short antimicrobial peptides" <i>J. Peptide Res.</i> , 1998, 56, pp 41-46
~	AI	Wakabayashi et al., "N-acylated and d enantiomer derivatives of a nonamer core peptide of lactoferricin b showing improved antimicrobial activity" <i>Antimicrobial Agents and Chemotherapy</i> , 1999, 43, 1267-1269
* ~	AJ	"A formulary of cosmetic preparations" Vol 2, Chapters 7-16, pp 5-484
* ~	AK	Goodman & Gilman's: <i>The Pharmacological Basis of Therapeutics</i> (8 th edition), pp 1-83

EXAMINER MARC NAVARRO

DATE CONSIDERED 1/8/04

*A copy of this reference will not be forwarded to the U.S. Patent and Trademark Office since it is believed to be too voluminous and easily obtainable by the Examiner.

Form PTO-1449 Modified		Docket No. HER-0050	Serial No. 09/882,781
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Carla H. Kuhner et al.,	
U.S. Department of Commerce Patent and Trademark Office		Filing Date June 15, 2001	Group Not Yet Assigned
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
*	AL	Gutte B., " <i>Peptides: Synthesis, Structures and Applications</i> " 1995	
*	AM	Lloyd-Williams et al., " <i>Chemical approaches to the synthesis of peptides and proteins</i> " 1997	
*	AN	Remington's Pharmaceutical Sciences (part 8), pp 1435-1694	
*	AO	The CFTA Cosmetic Ingredient Handbook, 1992, 2 nd edition	
EXAMINER		DATE CONSIDERED	
MARK NAVARRO		1/8/04	



Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office				Docket No. HER-0050		Serial No. 09/882,781	
				Applicant Carla H. Kuhner et al.,			
				Filing Date June 15, 2001		Group Not Yet Assigned	
U. S. PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Name	Class	Subclass	
<i>~</i>	AP	5,504,190	04/02/96	Houghten et al.,	530	329	
<i>~</i>	AQ	5,512,549	04/30/96	Chen et al.,	514	12	
<i>~</i>	AR	5,939,086	08/17/99	Levy	424	405	
FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Country	Translation YES NO		
<i>~</i>	AS	HEI 8-176190	07/09/96	Japan	X		
<i>~</i>	AT	2,020,148 A6	07/16/91	Spain	X		
<i>~</i>	AU	8,702,346 A1	03/16/87	Spain	X		
EXAMINER <i>Mark Navarro</i>				DATE CONSIDERED <i>1/8/04</i>			